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## ASA-5487 THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Manabu YAGI, et al

Confirmation NO. 2467

Serial No.:

10/589,976

Filed:

August 18, 2006

For:

RADIAL TURBINE AND METHOD OF COOLING NOZZLE OF THE SAME

Art Unit:

3746

Examiner:

not yet assigned

Customer No. 24956

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 & 1.98

#### **MS Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### 1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form(s) PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed for each foreign patent, for each publication or portion thereof that caused it to be listed, and for each pending unpublished U.S. application or portion thereof that caused it to be listed. No copy of a U.S. patent or U.S. patent application publication is needed unless required by the PTO. A copy of a document is also not needed when previously submitted or previously cited by the PTO in a prior application to which the present application claims a benefit under 35 U.S.C. § 120.
  - 4. The undersigned hereby states:
- (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS.
- 5. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 6. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.
- 7. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

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Date: December 4, 2007

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December 4, 2007

#### VIA AIRMAIL

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Re.: U.S. Serial No. 10/589,976

Manabu YAGI, et al Your Ref.: W3231-01K Our Ref.: ASA-5487

Dear Mr. Asamura:

Thank you for your instruction letter of October 9, 2007.

We have enclosed copies of the Information Disclosure Statement as filed in the U.S. Patent and Trademark Office on December 4, 2007, for your records.

We will continue to keep you informed of developments in this application.

Our debit note is enclosed.

Very truly yours,

John R. Mattingly

JRM/lmm Enclosures

<sup>\*</sup> Bar Membership Other Than Virginia